



yexle

Driven by Innovation,
Committed to Quality

GRC

Improving Compliance and Integrity with Yexle and Appian

Ensuring Compliance in the Age of AI: Yexle's Governance, Risk, and Compliance Solutions

AI Obligations

Consumer Duty

Regulatory Filings

AML Case Handling

Model Risk

External Audits

For any regulated business, Governance, Risk & Compliance (GRC) is not just about financial success — it is about safeguarding customer trust and preserving industry integrity. Recent high-profile incidents in the FS&I sector have illuminated the perilous consequences of regulatory non-compliance, threatening both businesses and sector reputation.

Regulations and regulatory compliance form the cornerstone of all financial services, but with a rapidly changing world, and new emerging technologies offering operational efficiencies and productivity gains, how can regulated FS&I businesses keep ahead of the curve?

Yexle, in partnership with Appian, has built a suite of highly configurable framework and GRC solutions to tackle this problem. Our Solutions in GRC include (but not limited to) Model Risk Assessment, Regulatory Filings Management, Consumer Duty, AML / EDD and Suspicious Activity Recording. Most recently we have also rolled out and trademarked solutions for managing AI related Obligations. These solutions have been rrecognized by leading consultancies and have been adopted by top-tier banks across the globe.

Features

- Powerful Engine:** Yexle + Appian offer a robust and dynamic engine for MRM (Model Risk Management).
- Data-Driven Processes:** Leveraging advanced analytics and data integration for informed decision making
- Proven Track Record within FS&I Market:** Demonstrated history of success within the Financial Services and Insurance (FS&I) market, providing reliability and trustworthiness.
- Intuitive User Interface:** User-friendly interface, for easy navigation and access to critical information, fostering productivity and user satisfaction.
- Future-Ready Technology:** Equipped to adapt to future technological advancements and industry trends.

Challenges	Benefits
Need to maintain regulatory compliance for all operating jurisdictions	Configurable workflows that can be localised and amended as situations change
Ever changing regulatory landscape driven by internal and external factors, e.g. fraud	Provides process standardisation, resulting in consistent and accurate assessments and decision making
Emerging technologies posing challenges to how FS&I businesses interact with customers	Adapt to change and new areas of regulation via configuration of the powerful Appian engine
Delivering a consistent and repeatable assessment and verification process for ongoing compliance	Reduce the admin and data management burden, freeing up staff to focus on the task
Be able to adapt to future GRC challenges quickly and efficiently	Reduce the risk of regulatory breaches and non-compliances through adoption of best-practice processes

